

## **2018-113 AUDIT SCOPE AND OBJECTIVES**

### **California Department of Corrections and Rehabilitation— In-Prison Rehabilitative Programs**

The audit by the California State Auditor will provide independently developed and verified information related to the effectiveness of in-prison rehabilitative programs at the California Department of Corrections and Rehabilitation (Corrections). The audit's scope will include, but not be limited to, the following activities:

1. Review and evaluate the laws, rules, and regulations significant to the audit objectives.
2. Identify the roles and responsibilities of Corrections and any other parties related to the oversight of state-funded rehabilitation programs and assess the adequacy of their oversight, including the extent to which they ensure appropriate and consistent implementation across institutions.
3. Determine whether Corrections has conducted an assessment to determine the level of resources required to meet the rehabilitative needs of inmates in all of Corrections' facilities.
4. Review and evaluate the effectiveness of the rehabilitation programs by determining the following:
  - a. Corrections' justification for the program's use and whether the program is based on evidence and research.
  - b. Corrections' method for evaluating the cost effectiveness of the program and whether it has considered investing in an independent oversight entity to perform this function.
5. Determine whether Corrections maintains adequate data on its rehabilitation programs—such as enrollment, attendance, and outcomes, by facility—to allow stakeholders to compare the effectiveness of rehabilitation resources across facilities.
6. Determine whether Corrections effectively assesses inmates' risks and rehabilitation needs and reviews and evaluates how it ensures that the tools used to identify the needs and risks are valid. Assess the adequacy of Corrections' policies, procedures, and practices for selecting and prioritizing inmates for participation in rehabilitation programs.
7. Identify and assess Corrections' performance measures—such as whether inmates' rehabilitative needs were met prior to their release and whether inmates are progressing in their programs—to evaluate the effectiveness of rehabilitative services.
8. Determine whether Corrections maintains a waitlist for rehabilitative programs, the number of inmates on the waitlist, how long they have been on the waitlist, their risks, and their needs.
9. Review and assess any other issues that are significant to the audit.